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Mutual Fund Periodic Purchase or Redemption

Use this form to set up periodic transactions of mutual funds. Minimum of 3 periodic transactions per fund. Transaction fee funds: \$5 per transaction. Frequency may be Monthly, Quarterly, Semi-annually, or Annually. Select the amount and date for each fund. If the selected date falls on a weekend or holiday the transaction will occur the following business day. Minimum subsequent purchase amounts apply for NTF funds. ETFs and UITs are not eligible for periodic transactions.

Account Number _____

Client Name(s) _____

Periodic PURCHASES						
Fund Name	Fund Symbol	Purchase Amount	Begin Date	End Date (if any)	Frequency	Replace Existing Order? Y/N
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

Periodic REDEMPTIONS						
Fund Name	Fund Symbol	Redemption Amt	Begin Date	End Date (if any)	Frequency	Replace Existing Order? Y/N
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____	_____

I accept full responsibility for all purchases and redemptions made according to these instructions. I understand and agree that no trade confirmations will be sent out and that purchases and redemptions will occur automatically and will continue until I notify Shareholders Service Group of any changes or cancellations to the above.

Signature of Account Holder

Date

Signature of Joint Account Holder

Date

OR

Signature of Advisor with Trading Authority

Advisor #

Date